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PTO/SB/21 (08-00)

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	09/453,387
Filing Date	December 2, 1999
First Named Inventor	WILKINS
Group Art Unit	1638
Examiner Name	D. Kruse
Attorney Docket Number	02307O095600

Total Number of Pages in This Submission

1

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):Power of Attorney by Assignee (1 page),
Certificate Under 37 CFR 3.73(b) (1 page);
Photocopy of Notice of Recordation of
Assignment and executed Assignment (3
pages); Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

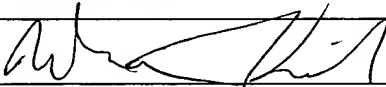
Firm
and
Individual name

Townsend and Townsend and Crew LLP

Matthew E. Hinsch

Reg No. 47,651

Signature



Date

August 22, 2001

CERTIFICATE OF MAILING

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Jill R. Clarke

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Date

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/453,387
Filing Date	December 2, 1999
First Name of Inventor	WILKINS
Title	Cotton Transcription Factors and Their Uses
Group Art Unit	1638
Examiner Name	D. Kruse
Attorney Docket Number	023070095600

I hereby appoint:

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20350

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Linda S. Stevenson
Signature	<i>Linda S. Stevenson</i>
Date	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of forms are submitted.

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SF 1252928 v1

cc No. 99-158-1

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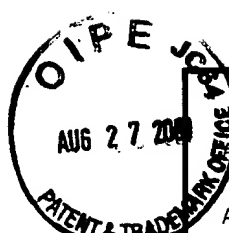
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Attorney Docket No. 023070095600



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Wilkins

Application No./Patent No.: 09/453,387

Filed/Issue Date: December 2, 1999

Entitled: Cotton Transcription Factors and Their Uses

The Regents of the University of California, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011115, Frame 0436, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date

Linda S. Stevenson
Signature

Linda S. Stevenson

Typed or printed name

Manager, Patent Prosecution

Title

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SF 1252929 v1

UC # 99-158-1

10-02-2000

t No. 02307O-095600US



101477153

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(Rev. 6-93)

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To the Honorable Asst. Commissioner for Patents. Please

1. Name of conveying party(ies):

Thea A. Wilkins

Additional name(s) of conveying parties attached? ☐ Yes
☒ No.

3. Nature of conveyance:

☒ Assignment ☐ Merge
☐ Security Agreement ☐ Change Name
☐ Other:

Execution Date: April 10, 2000

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: California ZIP: 94607

Additional names and addresses attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is: December 2, 1999

A. Patent Application No(s): 09/453,387

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin L. Bastian
 TOWNSEND AND TOWNSEND AND CREW LLP
 Two Embarcadero Center, 8th Floor
 San Francisco, California 94111-3834
 (415) 576-0200

6. Total number of applications and patents involved one

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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Kevin L. Bastian
 Name of Person Signing

Signature

Date

Atty Reg. No. 34,774

Total number of pages including cover sheet, attachments and document two

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

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ASSIGNMENT

U.C. Case No. 99-158

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Thea A. Wilkins

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

COTTON TRANSCRIPTION FACTORS AND THEIR USES

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) x _____ U.S. application serial no. 09/453,387, filed on December 2, 1999
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

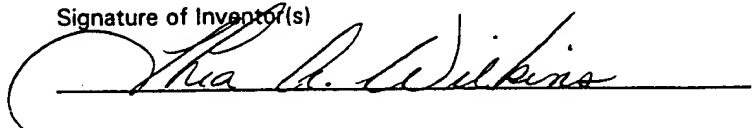
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

10th day of April, 2000

Signature of Inventor(s)

SF 150574 v1
SF 1084199 v1



03070-095600US
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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KL Bastian



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NOVEMBER 20, 2001
TOWNSEND AND TOWNSEND AND CREW LLP
KEVIN L. BASTIAN
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CALIFORNIA 94111-3834

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RECORDATION DATE: 08/17/2000

REEL/FRAME: 011115/0436
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WILKINS, THEA A.

DOC DATE: 04/10/2000

ASSIGNEE:
REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
1111 FRANKLIN STREET, 12TH FLOOR
OAKLAND, CALIFORNIA 94607

SERIAL NUMBER: 09453387
PATENT NUMBER:

FILING DATE: 12/02/1999
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS